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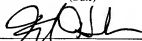
Applicant : Katsuyuki HAMADA, et al.  
Appl. No. : 10/576,047  
Filed : April 14, 2006  
For : CANCER GENE THERAPEUTIC  
DRUG  
Examiner : Kevin Kai Hill  
Art Unit No. : 1633

## CERTIFICATE OF EFS WEB TRANSMISSION

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April 9, 2008

(Date)

  
Kirk Hahn, Reg. No. 51,763

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants noticed an omission on the Foreign Applications. As indicated in the Declaration signed by the inventors, the present application claims priority to the **Japanese Patent Application Number 2003-354983 filed October 15, 2003**. Please issue a corrected official filing receipt to reflect the true priority data. SAs this error appears to have been made at the U.S. Patent and Trademark Office, we believe no fees to be required. However, should any fees be necessary for this request, please charge them to my credit card.

Enclosed in support of this Request are the following:

- (X) A copy of the Declaration.
- (X) A copy of the Filing Receipt indicated the true Foreign Application data.

Appl. No. : 10/576,047  
Filed : April 14, 2006

Respectfully submitted,

Dated: April 8, 2008

By: 

Kirk Flahn  
Registration No. 51,763  
Agent of Record  
Customer No. 038,051  
(714) 544-2934

5120621  
040708

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
10/576,047	04/14/2006	1632	525	TSU-006	20	2

CONFIRMATION NO. 8849

38051  
KIRK HAHN  
14431 HOLT AVE  
SANTA ANA, CA92705

### FILING RECEIPT

Date Mailed: 03/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Katsuyuki Hamada, Matsuyama-shi, JAPAN;  
Akinobu Goto, Kobe-shi, JAPAN;  
Toshiro Shirakawa, Kobe-shi, JAPAN;

#### Assignment For Published Patent Application

THE NEW INDUSTRY RESEARCH ORGANIZATION, KOBE-SHI, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number 038051

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15220 10/15/2003

#### Foreign Applications

JAPAN 2003-354983 10/15/2003

← please add.

If Required, Foreign Filing License Granted: 02/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/576,047**

**Projected Publication Date:** 06/07/2007

**Non-Publication Request:** No

**Early Publication Request:** No

\*\* SMALL ENTITY \*\*

Title

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PTO/SB/91 (10-01)

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**DECLARATION FOR UTILITY OR  
DESIGN****PATENT APPLICATION  
(37 CFR 1.63)**☒ Declaration Submitted with Initial Filing

or

☐ Declaration Submitted after Initial Filing  
(surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

TSU-006

First Named Inventor

HAMADA, Katsumichi

**COMPLETE IF KNOWN**

Inter. Appl. Number

PCT/JP2004/015220

Inter. Filing Date

October 15, 2004

Art Unit

Unknown

Examiner Name

Unknown

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CANCER GENE THERAPEUTIC DRUG**

the specification of which

☒ is attached hereto

OR

☐ was filed on MM/DD/YYYY as United States Application Number or PCT International Application Number XXX/XXX,XXX and was amended on MM/DD/YYYY (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(4) or (f), or 365(b) of any foreign application(s) for patent, inventors or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent inventors or plant breeders rights certificate(s), or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign filing date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?
2003-354983	Japan	10/15/2003	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

(Page 1 of 3)

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## DECLARATION -- Utility Or Design Patent Application

Direct all correspondence to ☒ Customer Number or Bar Code Label **038051** or ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name **Katsuyuki**  
 (first and middle (if any))

Family Name **HAMADA**  
 or Surname

Inventor's  
 Signature

Date **8/9/06**Residence: City **Matsuyama-shi**State **Ehime**Country **JAPAN**Citizenship **JAPAN**Mailing Address **Room 406, Nishishih Heights, 1-1-8, Nishishih**City **Matsuyama-shi**State **Ehime**ZIP **7900931**Country **JAPAN**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name **Akinobu**  
 (first and middle (if any))

Family Name **GOTO**  
 or Surname

Inventor's  
 Signature

Date **8/12/06**Residence: City **Kobe-shi**State **Hyogo**Country **JAPAN**Citizenship **JAPAN**Mailing Address **Heathcote Mikage Shironomae, 1438, Mikage Shironomae, Mikage-cho, Higashinada-ku**City **Kobe-shi**State **Hyogo**ZIP **6580056**Country **JAPAN**

☒ Additional inventors are being named on the **1** supplemental Additional Inventor(s) sheet(s); PTO/SB/02A attached hereto

(Page 2 of 3)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet	Page <u>3</u> of <u>3</u>
--------------------	---	---------------------------

**NAME OF ADDITIONAL JOINT INVENTOR:** ☐ A petition has been filed for this unassigned inventor

Given Name **Toshiro**  
(first and middle [if any])

Family Name **SHIRAKAWA**  
or Surname

Inventor's  
Signature

Signature

Date **6 Apr 2006**

Residence City **Kobe-shi**

State **Hyogo**

Country **JAPAN**

Citizenship **JAPAN**

Mailing Address **2-8-5, Shinoharakitamachi, Nada-ku**

City **Kobe-shi**

State **Hyogo**

ZIP **6570068**

Country **JAPAN**

**NAME OF ADDITIONAL JOINT INVENTOR:** ☐ A petition has been filed for this unassigned inventor

Given Name  
(first and middle [if any])

Family Name  
or Surname

Inventor's  
Signature

Date

Residence City

State

Country

Citizenship

Mailing Address

City

State

ZIP

Country

**NAME OF ADDITIONAL JOINT INVENTOR:** ☐ A petition has been filed for this unassigned inventor

Given Name  
(first and middle [if any])

Family Name  
or Surname

Inventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

City

State

ZIP

Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

If you need assistance in completing the form, call 1-800-PTO-9198 (1-800-766-9199) and select option 2.

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PTO/SB/41 (02-01)

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# **POWER OF ATTORNEY OR AUTHORIZATION OF AGENT**

International Application Number: **PCT/JP2004/015220**  
 International Filing Date: **October 15, 2004**  
 Firm Named Inventor: **HAMADA, Katsuyuki**  
 Title: **CANCER GENE THERAPEUTIC DRUG**  
 Group Art Unit: **Unknown**  
 Examiner Name: **Unknown**  
 Attorney Docket Number: **TSU-008**

I hereby appoint:

☒ Practitioners at Customer Number **038051**

OR

☐ Practitioner named below:

Name

**KIRK HAHN**

Registration Number

**51,763**

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

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OR

<input type="checkbox"/> Firm or Individual Name	<b>Kirk Hahn</b>				
Address	<b>14431 Holt Avenue</b>				
Address					
City	<b>Santa Ana</b>	State	<b>California</b>	Zip	<b>92705</b>
Country	<b>United States of America</b>				
Telephone	<b>714-544-2934</b>	Fax	<b>714-544-2934</b>		

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	<b>Katsuyuki HAMADA</b>
Signature	<i>Katsuyuki Hamada</i>
Date	<b>2/9/06</b>

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of **3** are submitted.

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AUTHORIZATION OF AGENT**

International Application Number: **PCT/JP2004/015220**  
International Filing Date: **October 15, 2004**  
First Named Inventor: **HAMADA, Katsuyuki**  
Title: **CANCER GENE THERAPEUTIC DRUG**  
Group Art Unit: **Unknown**  
Examiner Name: **Unknown**  
Attorney Docket Number: **TSU-008**

I hereby appoint:

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Registration Number  
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OR

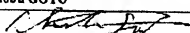
<input type="checkbox"/> Firm or Individual Name	<b>Kirk Hahn</b>				
Address	<b>14431 Holt Avenue</b>				
Address					
City	<b>Santa Ana</b>	State	<b>California</b>	Zip	<b>92705</b>
Country	<b>United States of America</b>				
Telephone	<b>714-544-2934</b>	Fax	<b>714-544-2934</b>		

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☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTOISB/96).

**SIGNATURE OF Applicant or Assignee of Record**

Name	<b>Akinobu GOTO</b>
Signature	
Date	<b>4/12/06</b>

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of **3** are submitted.

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

International Application Number: **PCT/JP2004/015220**

International Filing Date: **October 15, 2004**

First Named Inventor: **HAMADA, Katsuyuki**

Title: **CANCER GENE THERAPEUTIC DRUG**

Group Art Unit: **Unknown**

Examiner Name: **Unknown**

Attorney Docket Number: **TSU-008**

I hereby appoint:

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OR

☐ Practitioner named below:

Name  
**KIRK HAHN**

Registration Number  
**51,763**

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☒ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

OR

<input type="checkbox"/> Firm or Individual Name	<b>Kirk Hahn</b>			
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Address				
City	<b>Santa Ana</b>	State	<b>California</b>	Zip <b>92705</b>
Country	<b>United States of America</b>			
Telephone	<b>714-544-2934</b>	Fax	<b>714-544-2934</b>	

I am the:

☒ Applicant/Inventor

☐ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06).

SIGNATURE of Applicant or Assignee of Record

Name **Toahiro SHIRAKAWA**

Signature

*Signature of Toahiro Shirakawa*

Date

**6 Apr 2006**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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